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# TRANSMITTAL FORM



Electronic Version 1.0.2

Stylesheet Version: 1.0

Attorney Docket Number: RD-26970

## Diffusion Barrier Coatings, And Related Articles And Processes

First Named Inventor: Ji-Cheng (NMN) Zhao

### SUBMITTED BY

Name:	Noreen C. Johnson
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Electronic Signature Mark: NCJ	Date Signed: 20010611

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

### Attached Files:

fee-transmittal	johnsonRD26970fee.xml
bibd-transmittal	johnsonRD26970apds.xml
specification	RD26970.xml
declaration	Dec 1.tif
declaration	Dec 2.tif

**Attached Image File(s):**

Dec 1.tif

Dec 2.tif

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Comments:

FOR PROTECTED

# DECLARATION FOR PATENT APPLICATION

Docket Number

RD-26,970

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DIFFUSION BARRIER COATINGS, AND RELATED ARTICLES AND PROCESSES

the specification of which is attached hereto unless the following box is checked:

☐ was filed on \_\_\_\_\_ as United States Application Number or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56. I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

## Prior Foreign Application

Priority Claimed

☐ Yes ☐ No☐ Yes ☐ No

\_\_\_\_\_  
(Number) (Country) (Day/Month/Year Filed)

\_\_\_\_\_  
(Number) (Country) (Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) I below.

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under Title 35, United States Code §120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status - patented, pending, abandoned)

\_\_\_\_\_  
(Application Number)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status - patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, CUSTOMER NO. 006147.

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**CRD Patent Docket Rm 4A59**  
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information belief are believed to be true and further that these statements were made with the knowledge that willful false statements the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SOLE OR FIRST INVENTOR:

Full name: Ji-Cheng (NMN) Zhao

First Name

Middle Name

Last Name

Signature: 

Date

4/17/01

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FOURTH JOINT INVENTOR:

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First Name

Middle Name

Last Name

Signature: \_\_\_\_\_

Date

Residence: \_\_\_\_\_

City and State

Citizenship: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

# FEE TRANSMITTAL

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Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

TOTAL FEES AUTHORIZED: \$ 1356

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 07-0868  
Deposit Account Name: General Electric CRD



Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

SUBMITTED BY

Authorized Name: Noreen C. Johnson  
Electronic Signature Mark: NCJ  
Date Signed: 20010611

BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 710

Subtotal For Basic Filing Fee: \$ 710

EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 47	103	\$ 18	27	\$ 486
Independent Claims: 5	102	\$ 80	2	\$ 160

Subtotal For Extra Claims Fees: \$ 646

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